UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MAINE

CIV. NO. 1:09-CV-466-JAW

MAINE HUMAN RIGHTS COMMISSION, ET AL,

Plaintiffs

vs.

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SUNBURY PRIMARY CARE, PA,

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Defendant

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DEPOSITION OF: JOHN WILLIAM STEPHENS, PA-C

BEFORE: Lisa Fitzgerald, Notary Public, at the offices of Sunbury Primary Care, PA, 133 Corporate Drive, Bangor, Maine on April 13, 2010, beginning at 2:42 p.m.

John P. Gause, Esq. M. Elizabeth Gallie, Esq. For the Plaintiffs

Robert C. Brooks, Esq.

For the Defendant

Pieske Reporting (207) 622-1616

(This deposition was taken before Lisa Fitzgerald, 1 2 Notary Public, at the offices of Sunbury Primary Care, PA, 133 Corporate Drive, Bangor, Maine on April 13, 3 2010, beginning at 2:42 p.m.) 4 5 (Also present at the deposition was David Savell.) 6 7 (The deponent was administered the oath by the 8 9 Notary Public.) 10 JOHN WILLIAM STEPHENS, PA-C, called, after having been duly 11 12 sworn on his oath deposes and says as follows: EXAMINATION 13 BY MR. GAUSE: 14 Could you state your full name for the record. 15 Ο. Sure. John William Stephens. 16 Α. Where are you currently employed? 17 Q. Sunbury Primary Care, Corinth Family Medicine. 18 Α. How long have you worked there? 19 Q. 1989. Since 1989. 20 Α. What is your job there? 21 Ο. 22 I'm a physician assistant. Α. 23 Q. What do you do as a physician assistant? I, on a daily basis, see patients of all ages, 24 Α. 25 pediatrics through geriatrics.

- 1 Q. So a family practice provider?
- 2 A. Yes.
- 3 | O. Do you -- you were Shirley Carney's primary care
- 4 provider?
- 5 A. Correct.
- 6 Q. Have you had any other patients who are deaf?
- 7 A. Not that I recall.
- 8 Q. Do you recall the circumstances surrounding Shirley
- 9 | Carney leaving the practice at Sunbury?
- 10 A. I recall that she left the practice. I'm not certain,
- as I haven't spoken to her since she left. I'm not
- 12 exactly sure why she left.
- 13 | Q. Do you recall the last time that you saw her in person
- 14 being August 16 of 2007?
- 15 A. I do.
- 16 | Q. Have you communicated with her in any way since then?
- 17 A. I haven't.
- 18 | Q. What's your understanding of why she left the practice?
- MR. BROOKS: Is that asked and answered?
- MR. GAUSE: No.
- MR. BROOKS: If you know.
- 22 | THE WITNESS: I'm not certain, honestly, exactly
- 23 why she left the practice.
- 24 BY MR. GAUSE:
- 25 O. Okay. Did you ever talk to her about why?

- 1 | Q. In general or for that appointment in particular?
- 2 A. In general I did feel that, and also for that -- I would 3 have also felt the same for that particular visit.
- Q. So generally you felt that you could communicate effectively with her without an interpreter?
- 6 A. Correct.

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- 7 Q. How would you do that?
- A. We communicated through a number of methods. Primarily
 our communication consisted of note writing, for
 example -- backing up just for a moment, we primarily
 did writing notes but also through lipreading, gestures,
 those types of things, so I might say what brings you in
 today. I remember vividly she would be able to answer
 that.

So it would be a combination of different methods.

- Q. Prior to August 16 of 2007, did you communicate most of the time without an interpreter with her?
- A. I don't recall the exact number; I've had many visits
 over 12 years with her. It has been a number of years
 since I've seen her, so sometimes I had an interpreter,
 sometimes I didn't.
- 22 Q. Why did you have an interpreter when you did have one?
- 23 A. Sometimes it was prearranged to have an interpreter at the visit.
- 25 Q. Was it usually when she requested one?

- Q. Do you take any sort of a history from the patients on a regular basis?
- A. Every visit I would take a history. It's always part of your exam. It maybe brief, it may be detailed, depending on what the complaint is.
- Q. So throughout the visit related to hypertension, you would also be talking with your patient?
- A. Sure, I would be communicating with her. I mean, I
 would probably be speaking with her, and if she didn't
 understand what I was saying, or gesture to me -- again,
 we had a very good relationship. If she didn't
 understand, we'd start writing notes back and forth.
- Q. How long was a typical visit that you had with Shirley
 Carney that did not involve an interpreter?
- 15 A. Probably in the range of a half an hour.
- 16 Q. How long would a comparable visit be with somebody who
 17 was a hearing patient?
- 18 A. For the same medical problems, traditionally 15 minutes.
- 19 Q. So about twice as long?
- 20 A. Correct.
- 21 Q. The hyperlipidemia --
- 22 A. Elevated cholesterol.
- Q. What would you typically do for follow-up for that condition?
- 25 A. Simply take a history, find out whether there are any

- 1 conditions?
- 2 A. Sure.
- 3 | Q. Did you treat Shirley Carney for her deafness?
- 4 A. I don't believe I treated her for her deafness.
- 5 Q. Did you provide any medical care for her directly related to her deafness?
- A. I don't believe so. I do believe once I may have stated
 a note on her behalf to someone that needed -- a medical
 person -- to confirm she's deaf, but I don't believe -however you define treating, I didn't treat her for her
 deafness like I would her high blood pressure.
- 12 Q. You didn't provide any ongoing medical care for her deafness?
- 14 A. Not that I recall.
- 15 Q. Do you have any specialized training in dealing with patients who are deaf?
- 17 A. Specialized as in?
- Q. Other than just what you picked up from communicating with a patient who is deaf, have you had any training?
- 20 A. I have not had any special training, no.
- 21 Q. Have you had any training at all other than just 22 communicating with --
- 23 A. Specifically for deafness, no.
- Q. Is it fair to say that your ability to determine whether someone can effectively communicate is not related to

your medical background? 1 MR. BROOKS: Object to form. Foundation. 2 THE WITNESS: I hate to ask you to repeat it again, 3 but can you give it to me one more time. 4 MR. GAUSE: Sure. 5 BY MR. GAUSE: 6 Is it fair to say that your ability to assess someone's 7 ability to communicate effectively, who is deaf, is not 8 related to your medical training? 9 MR. BROOKS: Form. Foundation. 10 THE WITNESS: Again, I don't have any special 11 12 training in assessing deafness. BY MR. GAUSE: 13 So it's not related to your medical training; correct? 14 15 MR. BROOKS: Same objection. THE WITNESS: Yes. 16 BY MR. GAUSE: 17 So your ability to make that determination would not be 18 19 unlike a lawyer's ability to make that determination; 2.0 correct? 21 MR. BROOKS: Objection. Form. Foundation. Object 2.2 to the hypothetical. THE WITNESS: Just go back over this again because 23 I'm not really feeling comfortable. 24 25 MR. GAUSE: Sure.

THE WITNESS: I'm not really understanding. 1 2 BY MR. GAUSE: Your determination as to whether someone who is deaf can 0. communicate effectively with you is based solely on your 5 interaction with that person; correct? 6 I think it is, yes. I'd have to say that it's based Α. purely on our -- Shirley and my interaction. 7 8 Q. And that interaction would not be unlike the interaction 9 between a lawyer and a client --10 MR. BROOKS: Object to form. 11 BY MR. GAUSE: 12 -- in terms of, you know, transferring information? 13 MR. BROOKS: Object to the form and foundation. 14 Misstates the prior testimony. MR. GAUSE: You can answer. 15 16 MR. BROOKS: If you understand. 17 THE WITNESS: I don't have any special training. 18 quess the answer would be yes on that. BY MR. GAUSE: 19 20 Ο. So the -- strike that. Did you talk with anybody at Sunbury at any time 21 about Sunbury's policy relating to providing interpreter 22 services for patients who are deaf? 23 24 Α. I'm aware of the policy. I believe it was -- I believe 25 it was presented at a provider meeting if my memory

- That's correct. Α. 1
 - Did you know that -- strike that.

Did you know that her primary language was ASL? 3

MR. BROOKS: Object to form. Foundation. 4

THE WITNESS: I was aware that she does know how to 5

sign, if that's what your question is. Yes.

BY MR. GAUSE: 7

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- Were you aware that her primary language was American 9 Sign Language --
- MR. BROOKS: Same objection. 10
- BY MR. GAUSE: 11
- -- and not English? 12
- Same objection. MR. BROOKS: 13
- THE WITNESS: I would say yes. 14
- 15 BY MR. GAUSE:
- Did you ever make an assessment of her ability to 16 0. comprehend English? 17
- The only assessment that I would have would be based 18 Α. upon our interactions in hand writing notes back and 19
- 20 forth.
- And your understanding that she understood what you were 21 Q.
- saying to her and you understood what she was saying 22
- based on those written notes; correct? 23
- Correct. 24 Α.
- Did you ever ask her how she felt communicating with you 25 0.

with handwritten notes worked for her in terms of 1 2 communication? MR. BROOKS: Objection. 3 Form. MR. GAUSE: Strike that. 4 BY MR. GAUSE: 5 Did you ever ask her which method of communication she 6 Ο. preferred? 8 I don't recall asking her. Α. Did you know which method of communication she 9 Q. preferred? 10 I would say I wouldn't because I didn't ask her. 11 Α. 12 Did you ever ask her whether she felt that she was Ο. getting sufficient information through you through 13 handwritten notes? 14 I did not specifically ask her. 15 Α. 16 Did you ever ask her whether she felt that she was Ο. conveying sufficient information to you through 17 handwritten notes? 18 I would say the only way I would be able to assess 19 Α. 20 whether communication, that she was satisfied with that communication, was through the body -- two ways, one 21 through the body of the information that was being 22 written and the second would be gestures. 2.3

As I mentioned earlier, we had a good rapport, and

clearly she can smile, she can interact with me in that

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- regard, so I never had the sense that she was not satisfied with that information.
 - Q. Are you aware that there are different gestures and facial expressions that people who use ASL use than people who speak through English use?

6 MR. BROOKS: Object to the form of the question and foundation.

THE WITNESS: No, I'm not aware.

9 BY MR. GAUSE:

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- 10 | Q. Do you know how long Shirley Carney has been deaf?
- 11 A. I'm not certain the exact number of years. She's been
 12 deaf ever -- I believe she was deaf from childhood but
 13 I'm not certain of that.
- 14 Q. Have you ever known that?
- 15 A. Have I ever known?
- 16 | O. When she became deaf or when she was deaf?
- 17 A. If I did know that, I don't recall it. Again, it was
 18 15-ish years ago when I first saw her. If I had asked
- her, I don't recall her answer.
- 20 Q. Did you know what her educational background was?
- 21 A. No, I don't recall what her education background is.
- Q. Did you know whether she went to the Baxter School for the Deaf?
- 24 A. I don't believe we ever discussed that.
- 25 | Q. Do you know what her grade level, reading comprehension

- 1 was in English?
- 2 A. No.
- 3 Q. Did you know what her grade level, writing ability was
- 4 in English?
- 5 A. No.
- 6 Q. At the time, do you know whether she typed?
- 7 A. I'd have to say no, I don't know whether she typed or
- 8 not.
- 9 Q. Did you ever communicate with her with typewritten
- 10 notes?
- 11 A. I did not, no, and I don't know of any communication
- 12 typewritten.
- 13 Q. Did you ever communicate with her through lipreading?
- 14 A. I would say yes. If you're asking did I feel that
- 15 Shirley could read my lips, is that what you're asking?
- 16 Q. I'm asking if you used lipreading as a method of
- 17 communication with her?
- 18 A. I would say that was part of our communication.
- 19 Q. And the other part was handwritten notes?
- 20 A. Correct, yes.
- 21 Q. And gestures?
- 22 A. Yes.
- 23 Q. Anything else?
- 24 A. No, not that I recall.
- 25 | Q. Do you know what her ability to understand English

- 1 through lipreading is?
- A. Not being trained there, I'm not certain of that. It certainly seemed to be -- she seemed to be proficient with me in our interactions, but I have not assessed her on that, no.
- 6 Q. Was that based on her gestures?
- 7 A. Why don't you go back -- if you don't mind -- to the question before that.
- 9 Q. Do you know what her proficiency with lipreading was with respect to the ability to understand English?
- 11 A. Oh, lipreading?
- 12 O. Yes.

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- 13 A. No, I don't know.
- Q. So you don't know whether she could understand

 50 percent of what was spoken through lips or some other
 number?
- 17 A. I don't have an actual percentage of how much she understood.

Back to my -- I believe we had a good rapport and if she did not -- it seemed like she did not understand what I was saying, she would say no and then she would gesture to me, we need to write, which I had always brought a notebook into the visits.

She would write, I would read it, and back and forth.